

08-30-01

PTO/SB/05 (08-00)

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1131 U.S. PTO  
08/29/01Please type a plus sign (+) inside this box → ☐Approved for use through 10/31/2002. OMB 0651-0032  
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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  (Only for new nonprovisional applications under 37 CFR 1.52(b))	Attorney Docket No.		PINTO-003A
	First Inventor		A. Gregory Pinto
	Title	SYSTEM AND METHOD OF CONVERTING VIDEO TO BITMAP ANIMATION FOR USE IN ELECTRONIC MAIL	
	Express Mail Label No		EL 7 9 4 6 4 1 4 5 5 US

PTO  
09/941828  
08/29/01

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents	ADDRESS TO Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB17)</p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <u>13</u>] (preferred arrangement set forth below) -Descriptive title of the invention -Cross Reference to Related Applications -Statement Regarding Fed sponsored R &amp; D -Reference to sequence listing, a table, or a computer program listing appendix -Background of the Invention -Brief Summary of the Invention -Brief Description of the Drawings (if filed) 37 CFR 3.73(b) -Detailed Description -Claim(s) -Abstract of the Disclosure Copies of IDS Citations</p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <u>2</u>]</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages <u>2</u>] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</p> <p>6. <input type="checkbox"/> Application Data Sheet See 37 CFR 1.76</p>	<p>7. <input type="checkbox"/> CD-ROM or C-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27 (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. <input type="checkbox"/> Specification Sequence Listing on i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies a computer program listing appendix</p> <p><b>ACCOMPANYING APPLICATION PARTS</b></p> <p>9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>10. <input checked="" type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input checked="" type="checkbox"/> Other <u>Certificate of Mailing</u></p>

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76

☐ Continuation ☐ Divisional ☐ Continuation-in-part of prior application No. \_\_\_\_\_ / \_\_\_\_\_  
Prior application information Examiner \_\_\_\_\_ Group/Art Unit \_\_\_\_\_  
For CONTINUATION OR DIVISIONAL APPS only The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts

## 18. CORRESPONDENCE ADDRESS

x Customer Number or Bar Code Label 007663 or Customer address below				
Name	Attention: Marlene Klein			
Address				
City	State	Zip Code		
Country				

Name (Print/Type)	Marlene Klein	Registration No. (Attorney/Agent)	43,718
Signature	Marlene Klein		Date 8/29/01

† SEND TO: Assistant Commissioner for Patents, Box Patent Application Washington, D.C. 20231

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 515.00

## Complete if Known

Application Number

Filing Date

Herewith

First Named Inventor

A Gregory Pinto

Examiner Name

Group Art Unit

Attorney Docket No

PINTO-003A

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to

Deposit  
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Number

19-4330

Deposit  
Account  
Name

STETINA BRUNDA GARRED &amp; BRUCKER

- X Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
X Applicant claims small entity status See 37 CFR 1.27

2. ☒ Payment Enclosed:

X Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility Filing fee	355 00
106 320	206 160	Design Filing fee	
107 490	207 245	Plant Filing fee	
108 710	208 355	Reissue Filing fee	
114 150	214 75	Provisional Filing fee	
SUBTOTAL (1)			\$ 355 00

## 2. EXTRA CLAIM FEES

Extra Claims	Fee from below	Fee Paid
Total Claims 18 - 20** =	X	=
Independent Claims 6 - 3 =	3 X 40 00	= 120 00
Multiple Dependent		=

Large Entity		Small Entity		Fee Description:
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	
109	80	209	40	
110	18	210	9	

\*\*or number previously paid, if greater, For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge -late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifty month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive-unavoidable	
141 1,240	241 620	Petition to revive-unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Statement	
581 40	5 81 40	Recording each patent assignment per property (times number of properties)	40 00
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify)			
* Reduced by Basic Filing Fee Paid			
SUBTOTAL (3)			\$ 40 00

## SUBMITTED BY:

Complete (if applicable)

Name (Print/Type)	Marlene Klein	Registration No	43,718	Telephone	(949) 855-1246
Signature	<i>Marlene Klein</i>	Date	8/29/01		

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SEND TO: Assistant Commissioner for Patents, Washington, D C 20231

PTO/SB/17 (09-00)

**CERTIFICATE UNDER 37 C.F.R. § 3.73(b)**

Applicant(s): Albert Gregory Pinto and Malachi Daniel Clark

Application No.: Unknown Filed: Herewith

For: **SYSTEM AND METHOD OF CONVERTING VIDEO TO BITMAP ANIMATION  
FOR USE IN ELECTRONIC MAIL.**

Albert Gregory Pinto, a an Individual  
(Name of Assignee) (Type of Assignee, e g , corporation, partnership, etc )

certifies that it is the assignee of the entire right, title and interest in the patent application identified by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. ~~The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.~~  
OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

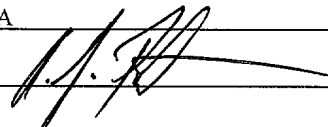
I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: August 27, 2001

Name: Albert G. Pinto

Registration No.: \_\_\_\_\_ (if applicable)

Title: N/A

Signature: 

105230" B2BT450

Certificate of Mailing under 37 CFR 1.8 or 37 CFR 1.10

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Washington, DC 20231

on August 29, 2001  
(Date)

  
Signature

Dawn L. Mangino  
Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

1. Utility Patent Application Transmittal (1 pg);
2. Fee Transmittal for FY 2001 (1 pg) (in duplicate);
3. Patent Application Specification ( 13 pgs);
4. Drawings ( 2 pgs);
5. Declaration for utility or Design Patent Application (2pgs);
6. Recordation Form Cover Sheet PATENTS ONLY (1 pgs);
8. Assignment of Application (1 pg);
9. Power of Attorney or Authorization of Agent (1 pg);
10. Certificate Under 37 C.F.R. Section 3.73(b) (1 pg);
11. Check for \$475.00 filing fees for application;
12. Check for \$40.00 fee for Assignment; and
13. Return Postcard to acknowledge receipt.